



2152 #3 BT  
PATENT  
02.11-02

Case Docket No. ALAMM.001A  
Date: August 2, 2001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Michael T. Aarons  
Appl. No. : 09/836,729  
Filed : April 17, 2001  
For : FINANCIAL  
TRANSACTION CARD  
Examiner : Unknown  
Group Art Unit : 2152

I hereby certify that this correspondence and all  
marked attachments are being deposited with the  
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8/2/01  
(Date)  
*Arthur S. Rose*  
Arthur S. Rose, Reg. No. 28,038

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TRANSMITTAL LETTER

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231  
ATTENTION: APPLICATION BRANCH

Dear Sir:

Enclosed for filing in the above-identified application are:

- (X) A Supplemental Information Disclosure Statement.
- (X) A PTO Form 1449 with nine (9) references.
- (X) The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Account No. 11-1410.
- (X) Return prepaid postcard.

*Arthur S. Rose*  
\_\_\_\_\_  
Arthur S. Rose  
Registration No. 28,038  
Attorney of Record



ALAMM.001A

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant	:	Michael T. Aarons	)	Group Art Unit 2152
App. No.	:	09/836,729	)	
Filed	:	April 17, 2001	)	
For	:	FINANCIAL TRANSACTION	)	
		CARD	)	
Examiner	:	Unknown	)	

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SUPPLEMENTAL DISCLOSURE STATEMENT

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

Enclosed is form PTO-1449 listing references that are also enclosed. This Information Disclosure Statement is being filed before the mailing date of a final action under 37 C.F.R. § 1.113 and before the mailing date of a Notice of Allowance under § 1.311. A certification under 37 C.F.R. § 1.97(e) is set forth below. Thus, no fee is required as set forth below in 37 C.F.R. § 1.97(c).

CERTIFICATION UNDER 37 C.F.R. § 1.97(e)(1)

I hereby certify that each item of information contained in this Statement was first cited in a communication from a foreign Patent Office in a counterpart foreign application not more than three months days/months prior to the filing of this Information Disclosure Statement.

Appl. No. : 09/836,729  
Filed : April 17, 2001

Pursuant to 37 C.F.R. § 1.97(g) and (h), Applicant makes no representation that a search has been made or that the information is considered to be material to patentability. Additionally, inclusion on this list is not an admission that any of the cited documents are prior art in this application. Further, Applicant makes no representation regarding the completeness of this list, nor represents that better art does not exist.

Respectfully submitted,  
KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: \_\_\_\_\_

8/2/01

By: \_\_\_\_\_



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FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE STATEMENT BY APPLICANT (USE SEVERAL SHEETS IF NECESSARY)	ATTY. DOCKET NO. ALAMM.001A	APPLICATION NO. 09/836,729
	APPLICANT Michael T. Aarons	
	FILING DATE April 17, 2001	GROUP 2152

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
	6,179,209	01/30/2001	Goodwin et al.			
	5,107,099	04/21/1992	Smith			
	4,641,017	02/03/1987	Lopata			
	4,614,861	09/30/1986	Pavlov et al.			
	6,021,030	02/01/2000	Weinberger			
	5,844,757	12/01/1998	Rose			
	4,868,373	09/19/1989	Opheiji et al.			
	6,189,055	02/13/2001	Eisele et al.			

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## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	PCT/US07/12393	07/12/2001	International Search Report				

EXAMINER INITIAL	OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)

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072501

EXAMINER	DATE CONSIDERED
*EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED, INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.	